

Form PTO-1449 (modified) *AUG 16 2004*  
**List of Patents and Publications for Applicant's**  
 INFORMATION DISCLOSURE STATEMENT  
 (Use several sheets if necessary)

Atty. Docket No. **INRP:104US** Serial No. **10/747,798**

Applicant  
**George H. Yoo**

Filing Date: **December 29, 2003** Group: **Unknown** *1633*

U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>
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### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
<i>SDP</i>	A1	5,747,469	5/05/98	Roth <i>et al.</i>	514	44	4/25/94
	A2	6,017,524	1/25/00	Roth <i>et al.</i>	424	93.2	10/13/92
	A3	6,410,010	6/25/02	Zhang and Roth	424	93.2	10/29/93
	A4	6,511,847	1/28/03	Zhang and Roth	435	320.1	9/21/00
	A5	2002/0187105	12/12/02	Zou and Perez-Soler	424	45	2/01/02
<i>SDP</i>	A6	2002/0051767	6/20/02	Clayman	514	44	10/01/01
	A7	2002/0077313	5/02/02	Chiang and Chang	424	93.21	9/13/95

### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
<i>SDP</i>	B1	WO 95/12660	5/11/95	-PCT WIPO	—	—	English
<i>SDP</i>	B2	WO 95/28948	11/02/95	-PCT WIPO	—	—	English

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
<i>SDP</i>	C1	"Recombinant DNA Advisory Committee: Recombinant DNA and Gene Transfer," <a href="http://www4.od.nih.gov/oba/rac/aboutrdagt.htm">http://www4.od.nih.gov/oba/rac/aboutrdagt.htm</a>
	C2	"Human genetics in the public interest," Center for Genetics and Society, <a href="http://www.genetics-and-society.org/policies/us/agencies.html">http://www.genetics-and-society.org/policies/us/agencies.html</a>
	C3	Baker <i>et al.</i> , "Suppression of human colorectal carcinoma cell growth by wild-type p53," <i>Science</i> , 249:912-915, 1990.
	C4	Bartek <i>et al.</i> , "Genetic and immunochemical analysis of mutant p53 in human breast cancer cell lines," <i>Oncogene</i> , 5:893-899, 1990.
<i>SDP</i>	C5	Berenson <i>et al.</i> , "Frequent amplification of the bcl-1 locus in head and neck squamous cell carcinoma," <i>Oncogene</i> , 4:1111-1116, 1989.

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EXAMINER: *Scott D. Prich* DATE CONSIDERED: *10/31/05*

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Exam. Init.	Ref. Des.	Citation
SDP	C6	Berges <i>et al.</i> , "Cell proliferation, DNA repair, and p53 function are not required for programmed cell death of prostatic glandular cells induced by androgen ablation," <i>Proc. Natl. Acad. Sci., USA</i> , 8910-8914, 1993.
	C7	Boyle <i>et al.</i> , "The incidence of p53 mutation increases with progression of head and neck cancer," <i>Cancer Res.</i> , 53:4477-4480, 1993.
	C8	Bramwell, "The role of chemotherapy in multimodality therapy," <i>Canadian J. of Surgery</i> , 31(6):390-396, 1988.
	C9	Brennan <i>et al.</i> , "Molecular assessment of histopathological staging in squamous-cell carcinoma of the head and neck," <i>NEJM</i> , 332(7):429-435, 1995.
	C10	Cai <i>et al.</i> , "Stable expression of the wild-type p53 gene in human lung cancer cells after retrovirus-mediated gene transfer," <i>Human Gene Therapy</i> , 4:617-624, 1993.
	C11	Cajot <i>et al.</i> , "Growth suppression mediated by transfection of p53 in Hut292DM human lung cancer cells expressing endogenous wild-type p53 protein," <i>Cancer Research</i> , 52(24):6956-6960, 1992.
	C12	Calhoun <i>et al.</i> , "Distant metastases from head and neck squamous cell carcinomas," <i>Laryngoscope</i> , 104:1199-1205, 1994.
	C13	Casey <i>et al.</i> , "Growth suppression of human breast cancer cells by the introduction of a wild-type p53 gene," <i>Oncogene</i> , 6:1791-1797, 1991.
	C14	Chen <i>et al.</i> , "Genetic mechanisms of tumor suppression by the human p53 gene," <i>Science</i> , 250:1576-1580, 1990.
	C15	Chiang <i>et al.</i> , "Expression and purification of general transcription factors by flag epitope tagging and peptide elution," <i>Pept. Res.</i> , 6(2):62-64, 1993.
	C16	Chung <i>et al.</i> , "Discordant p53 gene mutations in primary head and neck cancers and corresponding second primary cancers of the upper aerodigestive tract," <i>Cancer Res.</i> , 53:1676-1683, 1993.
	C17	Clarke <i>et al.</i> , "Thymocyte apoptosis induced by p53-dependent and independent pathways," <i>Nature</i> , 362:849-852, 1993.
✓	C18	Clayman <i>et al.</i> , "Adenovirus-mediated p53 gene transfer in patients with advanced recurrent head and neck squamous cell carcinoma," <i>J. Clin. Oncol.</i> , 16:2221-2232, 1998.

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EXAMINER: <i>Scott D. Pruske</i>	DATE CONSIDERED: 10/31/05
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Exam. Init.	Ref. Des.	Citation	
SDP	C19	Clayman <i>et al.</i> , "Adenovirus-mediated wild-type p53 gene transfer as a surgical adjuvant in advanced head and neck cancers," <i>Clin. Cancer Res.</i> , 5:1715-1722, 1999.	
	C20	Clayman <i>et al.</i> , "Comparing the tumor suppressor gene p53 and a cell cycle regulator WAF1/CIP1(p21)," <i>Arch. Otolaryngol Head Neck Surg.</i> , 122:489-493, 1996.	
	C21	Clayman <i>et al.</i> , "In vivo molecular therapy with p53 adenovirus for microscopic residual head and neck squamous carcinoma," <i>Cancer Res.</i> , 55(1):1-6, 1995.	
	C22	Clayman <i>et al.</i> , "Regulation of urokinase-type plasminogen activator expression in squamous-cell carcinoma of the oral cavity," <i>Int. J. Cancer</i> , 54:73-80, 1993.	
	C23	Clayman, "Clinical protocol for wild type p53 gene induction in premalignancies of squamous epithelium of the oral cavity via an adenoviral vector," Scientific Abstract, sponsored by Introgen, Inc.	Considered but do not point on patent - incomplete citation
	C24	Clayman, "Clinical protocol for wild type p53 gene induction in premalignancies of squamous epithelium of the oral cavity via an adenoviral vector," Non-Technical Abstract, sponsored by Introgen, Inc.	
	C25	Couch <i>et al.</i> , "Immunization with types 4 and 7 adenovirus by selective infection of the intestinal tract," <i>Am. Rev. Resp. Dis.</i> , 88:394-403, 1963.	
	C26	Denissenko <i>et al.</i> , "Preferential formation of benzo[a]pyrene adducts at lung cancer mutational hotspots in p53," <i>Science</i> , 274:430-432, 1996.	
	C27	Der and Cooper, "Altered Gene Products Are Associated with Activation of Cellular ras <sup>k</sup> Genes in Human Lung and Colon Carcinomas", <i>Cell</i> , 32:201-208, 1983.	
	C28	Diller <i>et al.</i> , "p53 function as a cell cycle control protein in osteosarcomas," <i>Mol. Cell Biol.</i> , 10(11):5772-5781, 1990.	
	C29	Donehower <i>et al.</i> , "Mice deficient for p53 are developmentally normal but susceptible to spontaneous tumors," <i>Nature</i> , 356:215-221, 1992.	
	C30	Eicher <i>et al.</i> , "Evaluation of topical gene therapy for head and neck squamous cell carcinoma in an organotypic model," <i>Clin. Cancer Res.</i> , 2(10):1659-1664, 1996.	
✓	C31	El-Deiry <i>et al.</i> , "WAF1/CIP1 is induced in p53-mediated G1 arrest and apoptosis," <i>Cancer Res.</i> , 54:1169-1174, 1994.	

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SDP	C32	Fearon <i>et al.</i> , "Identification of a chromosome 18q gene that is altered in colorectal cancers," <i>Science</i> , 247:49, 1990.
	C33	Field <i>et al.</i> , "Elevated expression of the c-myc oncogene correlates with poor prognosis in head and neck squamous cell carcinoma," <i>Oncogene</i> , 4:1463-1468, 1989.
	C34	Field <i>et al.</i> , "The role of the p53 tumor suppressor gene in squamous cell carcinoma of the head and neck," <i>Arch. Otolaryngol. Head and Neck Surg.</i> , 119:1118-1122, 1993.
	C35	Fridman <i>et al.</i> , "The minimal fragments of c-Raf-1 and NF1 that can suppress a v-Haras-induced phenotype," <i>J. Biol. Chem.</i> , 269:30105-30108, 1994.
	C36	Fujiwara <i>et al.</i> , "Therapeutic effect of a retroviral wild-type p53 expression vector in an orthotopic lung cancer model," <i>JNCI</i> , 86:1458-1462, 1994.
	C37	Fujiwara <i>et al.</i> , "A retroviral wild-type p53 expression vector penetrates human lung cancer spheroids and inhibits growth by inducing apoptosis," <i>Cancer Res.</i> , 53:4129-4133, 1993.
	C38	Ganly and Singh, "Topical ONYX-015 in the treatment of premalignant oral dysplasia: another role for the cold virus?" <i>J. Clin Oncol.</i> , 21(24):4476-4478, 2003.
	C39	Gillison <i>et al.</i> , "Evidence for a causal association between human papillomavirus and a subset of head and neck cancers," <i>J. Natl. Cancer Inst.</i> , 92:709-720, 2000.
	C40	Hamada <i>et al.</i> , "Adenovirus-mediated transfer of a wild-type p53 gene and induction of apoptosis," <i>Cancer Res.</i> , 56(13):3047-3054, 1996.
	C41	Hamada <i>et al.</i> , "Adenovirus-mediated transfer of HPV 16 E6/E7 antisense RNA to human cervical cancer cells," <i>Gynecol. Oncol.</i> , 63:219-227, 1996.
	C42	Hamada <i>et al.</i> , "Growth inhibition of human cervical cancer cells with the recombinant adenovirus p53 in vitro," <i>Gynecol. Oncol.</i> , 60:373-379, 1996.
	C43	Hollstein <i>et al.</i> , "p53 mutations in human cancers," <i>Science</i> , 253:49-53, 1991.
	C44	Hopp <i>et al.</i> , "A short polypeptide marker sequence useful for recombinant protein identification and purification," <i>Bio/Technology</i> , 6:1204-1210, 1988.
✓	C45	Hsu <i>et al.</i> , "Use of avidin-biotin-peroxidase complex (ABC) in immunoperoxidase techniques: a comparison between ABC and unlabeled antibody (PAP) procedures," <i>J. Histochem. Cytochem.</i> , 29:577-580, 1981.

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<i>SDP</i>	C46	Huang <i>et al.</i> , "Mitomycin C and cisplatin enhanced the antitumor activity of p53-expressing adenovirus in cervical cancer cells," <i>Cancer Investigation</i> , 19(4):360-368, 2001.
	C47	Jackson <i>et al.</i> , "Wild type p53 down regulates transcription from different virus cancer/promoters," <i>Oncogene</i> , 8(3):589-597, 1993.
	C48	Kastan <i>et al.</i> , "A mammalian cell cycle checkpoint pathway utilizing p53 and GADD45 is defective in ataxia-telangiectasia," <i>Cell</i> , 71:587-597, 1992.
	C49	Katayose <i>et al.</i> , "Cytotoxic effects of adenovirus-mediated wild-type p53 protein expression in normal and tumor mammary epithelial cells," <i>Clinical Cancer Research</i> , 1:889-897, 1995.
	C50	Koch <i>et al.</i> , "p53 mutation and locoregional treatment failure in head and neck squamous cell carcinoma," <i>J. Natl. Cancer Inst.</i> , 88:1580-1586, 1996.
	C51	Liu <i>et al.</i> , "Apoptosis induction mediated by wild-type p53 adenoviral gene transfer in squamous cell carcinoma of the head and neck," <i>Cancer Res.</i> , 55:3117-3122, 1995.
	C52	Liu <i>et al.</i> , "Growth suppression of human head and neck cancer cells by the introduction of a wild-type p53 gene via a recombinant adenovirus," <i>Cancer Res.</i> , 54:3662-3667, 1994.
	C53	Lowe <i>et al.</i> , "p53 is required for radiation-induced apoptosis in mouse thymocytes," <i>Nature</i> , 362:847-849, 1993.
	C54	Maestro <i>et al.</i> , "High frequency of p53 gene alterations associated with protein overexpression in human squamous cell carcinoma of the larynx," <i>Oncogene</i> , 7:1159-1166, 1992.
	C55	Martinez <i>et al.</i> , "Cellular localization and cell cycle regulation by a temperature-sensitive p53 protein," <i>Genes Dev.</i> , 5:151-159, 1991.
	C56	Mashal <i>et al.</i> , "Rearrangement and expression of p53 in the chronic phase and blast crisis of chronic myelogenous leukemia," <i>Blood</i> , 75:180-189, 1990.
	C57	Matlashewski <i>et al.</i> , "Isolation and characterization of a human p53 cDNA clone: expression of the human p53 gene," <i>EMBO J.</i> , 3(13):3257-3262, 1984.
	C58	Mitchell <i>et al.</i> , "Transgene expression in the rhesus cervix mediated by an adenovirus expressing $\beta$ -galactosidase," <i>Am. J. Obstet. Gynecol.</i> , 174(4):1094-1101, 1996.
<i>V</i>	C59	Neilson and Manaval, "p53 tumor suppressor gene therapy for cancer," <i>Cancer Gene Therapy</i> , 5(1):52-63, 1998.

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EXAMINER: *Sarah D. Prince*

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SIDP	C60	Nemunaitis <i>et al.</i> , "Three phase III trials of intratumoral injection with a replication-deficient adenovirus carrying the p53 gene (AD5CMV-P53) in patients with recurrent/refractory head and neck cancer," <i>Proc. An. Soc. Clin. Oncol.</i> , 18:431a, 1999.
	C61	Nishizaki <i>et al.</i> , "Recombinant adenovirus expressing wild-type p53 is antiangiogenic: a proposed mechanism for bystander effect," <i>Clin. Cancer Res.</i> , 5:1015-1023, 1999.
	C62	Nylander <i>et al.</i> , "p53 expression and cell proliferation in squamous cell carcinomas of the head and neck," <i>Cancer</i> , 75:87-93, 1995.
	C63	O'Malley, Jr. <i>et al.</i> , "Adenovirus-mediated gene therapy for human head and neck squamous cell cancer in a nude mouse model," <i>Cancer Res.</i> , 55:1080-1085, 1995.
	C64	Oda <i>et al.</i> , "Chromosomal abnormalities in HPV-16-immortalized oral epithelial cells," <i>Carcinogenesis</i> , 17(9):2003-2008, 1996.
	C65	Ogiso <i>et al.</i> , "Suppression of various human tumor cell lines by a dominant negative H-ras mutant," <i>Gene Ther.</i> , 1:403-407, 1994.
	C66	Oren and Levine, "Molecular cloning of a cDNA specific for the murine p53 cellular tumor antigen," <i>Proc. Natl. Acad. Sci., USA</i> , 80:56-59, 1983.
	C67	Pavelic <i>et al.</i> , "Overexpression of a p52 protein is common in premalignant head and neck lesions," <i>Anticancer Research</i> , 14:2259-2266, 1994.
	C68	Perrem <i>et al.</i> , "'p53 represses SV40 transcription by preventing formation of transcription complexes," <i>Oncogene</i> , 11(7):1299-1307, 1995.
	C69	Ramqvist <i>et al.</i> , "Wild-type p53 induces apoptosis in a Burkitt lymphoma (BL) line that carries mutant p53," <i>Oncogene</i> , 8:1495-1550, 1993.
	C70	Ricciioni <i>et al.</i> , "Adenovirus-mediated wild-type p53 overexpression inhibits endothelial cell differentiation in vitro and angiogenesis in vivo," <i>Gene Ther.</i> , 5:747-754, 1998.
	C71	Rodrigues <i>et al.</i> , "p53 mutations in colorectal cancer," <i>Proc. Natl. Acad. Sci., USA</i> , 87:7555-7559, 1990.
	C72	Rudin <i>et al.</i> , "An attenuated adenovirus, ONYX-015, as mouthwash therapy for premalignant oral dysplasia," <i>J. Clin. Oncology</i> , 21(24):4546-4552, 2003.
↓	C73	Sacks <i>et al.</i> , "Establishment and characterization of two new squamous cell carcinoma cell lines derived from tumors of the head and neck," <i>Cancer Res.</i> , 48:2858-2866, 1988.

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SDP	C74	Shaulsky <i>et al.</i> , "Nuclear accumulation of p53 protein is mediated by several nuclear localization signals and plays a role in tumorigenesis," <i>Mol. Cell Biol.</i> , 10(12):6565-6567, 1990.
	C75	Sauter <i>et al.</i> , "Vascular endothelial growth factor is a marker of tumor invasion and metastasis in squamous cell carcinomas of the head and neck," <i>Clin. Cancer Res.</i> , 5:775-782, 1999.
	C76	Shaw <i>et al.</i> , "Induction of apoptosis by wild-type p53 in a human colon tumor-derived cell line," <i>Proc. Natl. Acad. Sci., USA</i> , 89:4495-4499, 1992.
	C77	Shilltoe, "Gene therapy for oral cancer: recent progress in research," <i>Oral Oncology</i> , 34:157-160, 1998.
	C78	Shirasawa <i>et al.</i> , "Altered growth of human colon cancer cell lines disrupted at activated Kras," <i>Science</i> , 260:85-88, 1993.
	C79	Srivastava <i>et al.</i> , "Recombinant adenovirus vector expressing wild-type p53 is a potent inhibitor of prostate cancer cell proliferation," <i>Urology</i> , 46(6):843-848, 1995.
	C80	Su <i>et al.</i> , "Production of recombinant porcine tumor necrosis factor alpha in a novel <i>E. coli</i> expression system," <i>BioTechniques</i> , 13(5):756-762, 1992.
	C81	Subler <i>et al.</i> , "Inhibition of viral and cellular promoters by human wild-type p53," <i>J. Virol.</i> , 66(8):4757-4762, 1992.
	C82	Suzuki, <i>et al.</i> , "p53 Mutations in Non-Small Cell Lung Cancer in Japan: Association between Mutations and Smoking," <i>Cancer Res.</i> , 52:734 736, 1992.
	C83	Tabin <i>et al.</i> , "Mechanism of activation of a human oncogene," <i>Nature</i> , 300(11):143-149, 1982.
	C84	Wang <i>et al.</i> , "Apoptosis induced in human osteosarcoma cells is one of the mechanisms for the cytoidal effect of AdCMV-p53," <i>Cancer Gene Therapy</i> , 2(1):1-9, 1995.
	C85	Watling <i>et al.</i> , "Overexpression of p53 in head and neck cancer," <i>Head and Neck</i> , 14(6):437-444, 1992.
	C86	Wijsman <i>et al.</i> , "A new method to detect apoptosis in paraffin section: in situ end-labeling of fragmented DNA," <i>J. Histochem. Cytochem.</i> , 41:7-12, 1993.
U	C87	Wilcock and Lane, "Localization of p53, retinoblastoma, and host replication proteins at sites of viral replication in herpes-infected cells," <i>Nature</i> , 349:429-431, 1991.

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SDP	C88	Wills et al., "Development and characterization of recombinant adenoviruses encoding human p53 for gene therapy cancer," <i>Human Gene Therapy</i> , 5:1079-1088, 1994.
	C89	Yamamoto et al., "High incidence of amplification of the epidermal growth factor receptor gene in human squamous carcinoma cell lines," <i>Cancer Res.</i> , 46:414-416, 1986.
	C90	Yonish-Rouach et al., "Wild-type p53 induces apoptosis of myeloid leukaemic cells that is inhibited by interleukin-6," <i>Nature</i> , 352:345-347, 1991.
	C91	Yoo et al., "The effects of exogenous p53 overexpression on HPV-immortalized and carcinogen transformed oral keratinocytes," <i>Cancer</i> , 94(1):159-166, 2002.
	C92	Yoo et al., "Progression of head and neck cancer in an in vitro model," <i>Arch. Otolaryngol. Head Neck Surg.</i> , 126:1313-1318, 2000.
	C93	Yuasa et al., "Acquisition of transforming properties by alternative point mutations within c-bas/has human proto-oncogene," <i>Nature</i> , 303(5920):775-779, 1983.
	C94	Zhang et al., "Gene therapy strategies for cancer," <i>Exp. Opin. Invest. Drugs</i> , 4(6):487-514, 1995.
N	C95	"Clinical protocol for wild type p53 gene induction in premalignancies of squamous epithelium of the oral cavity via an adenoviral vector," Investigator Gary Clayman, Sponsor Introgen Therapeutics, PowerPoint presentation, March 2001.

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but do not  
print on patent  
complete  
citation

25387686.1

EXAMINER: <i>Sarah D. Pink</i>	DATE CONSIDERED: 11/105
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